LASA SUPERGENERICS LIMITED
COMPLIANCE REPORT OF CORPORATE GOVERNANCE
FOR THE QUARTER ENDED ON MARCH 31, 2019

I.			Composition of Board of Director							
Title (Mr. /Ms)	Name of the Director	PAN & DIN	DIN	Category (Chairperson /Executive /Non Executive/Independent / Nominee)	Date of Appointment in the current terms	Date of Cessation	Tenure *	Number of Directors in Listed entities including this Listed entity	Number of Membership in Audit/Stakeholder Committee (s) included this listed entity	Number of Post of Chairperson in Audit / Stakeholder Committee held in Listed entities included this listed entity
Mr.	Omkar Pravin Herlekar	ACCPH 0802P	01587 154	Chairman & Managing Director	02-05-2017		23	2	1 (Audit Committee)	0
Mr.	Shivanand Gajanan Hegde	AAGPH 6895J	00185 508	Whole Time Director	02-05-2017		23	1	1 (Stakeholder Committee)	0
Mr.	Mithun Mohan Jadhav	AQFPJ8 470N	08181 048	Whole Time Director	13-08-2018		8	1	1 (Stakeholder Committee)	0
CA	Hardesh Raja Tolani	AIYPT7 884P	07811 319	Non-Executive-Independent Director	02-05-2017		23	1	0	1 (Audit Committee)
CA	Ekta Gurnasinghani	ALOPG 0855E	07811 337	Non-Executive-Independent Director	02-05-2017		23	1	1 (Audit Committee)	0
CA	Manali Roop Bhagtani	BQAPB 1806J	08067 867	Non-Executive Independent	12-02-2018		14	1	0	0

				Director						
Mr.	Ajay Hareshlal Sukhwani	BUCPS 3330L	07811 551	Non-Executive-Independent Director	02-05-2017		23	1	0	1 (Stakeholder Committee)

$ PAN Number of any Director would not be Displayed on the website of Stock Exchange.
& Category Of Director Means Executive/ Non Executive /Independent/Nominee. If a Director fits into more that one category write all categories separating them with hyphen.

*To be filled only for independent Director. Tenure would mean total period from which Independent Director is serving on Board of Director of the Listed entity in continuity without any cooling off period.

II **Composition of Committee**

Name Committee	Name of Committee Member	Category (Chairperson / Executive /Non- Executive / Independent / Nominee)&
1. Audit Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Omkar Herlekar	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Chairman and Managing Director - Member
2. Nomination Remuneration Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Ajay Sukhwani	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Non-Executive – Independent Director – Member
3. Stakeholder Relationship Committee	Mr. Ajay Sukhwani Mr. Mithun Jadhav Mr. Shivanand Hegde	Non- Executive – Independent Director- Chairperson Executive Director- Member Executive Director- Member
4. Allotment Committee	Mr. Omkar Herlekar Mr. Mithun Jadhav Mr. Shivanand Hegde	Chairman and Managing Director- Chairperson Executive Director- Member Executive Director- Member
5. CSR Committee	Mr. Ajay Sukhwani Mr. Omkar Herlekar Mr. Mithun Jadhav Ms. Manali Bhagtani	Non- Executive – Independent Director- Chairperson Chairman and Managing Director – Member Executive Director- Member Non-Executive – Independent Director – Member
6. Enquiry Committee	Mr. Omkar Herlekar Mr. Ajay Sukhwani Mr. Shivanand Hegde	Chairman and Managing Director- Chairperson Non- Executive – Independent Director- Chairperson Executive Director- Member
7. Advisory Committee	Mr. Omkar Herlekar Mr. Shivanand Hegde CA. Hardesh Tolani	Chairman and Managing Director- Chairperson Executive Director- Member Non- Executive – Independent Director- Chairperson
8. Fund Raising Committee	Mr. Omkar Herlekar Mr. Shivanand Hegde CA. Hardesh Tolani	Chairman and Managing Director- Chairperson Executive Director- Member Non- Executive – Independent Director- Chairperson
Category of Director means Executive / Non Executive / Independent /Nominee. If a Director fits into more that one category write all categories separating them with hyphen.		

III Meeting of Board of Director		
Date(s) of Meeting (if any) in the previous quarter	**Date(s) of meeting (if any) in the current quarter**	**Maximum gap between any two consecutive (in number of days)**
November 05, 2018 November 14, 2018	February 11,2019	08 88

IV Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	**Whether requirement of Quorum met (details)**	**Date(s) of meeting of the committee in the previous quarter (Quarter ended 31.03.2019)**	**Maximum gap between any two consecutive meeting in number of days***
V. Related Party Transactions			
Audit Committee			
February 11, 2019 February 11, 2019	Yes (Requisite Quorum was present)	November 05, 2018 November 14, 2018	97 88
Nomination & Remuneration Committee			
N.A	Yes (Requisite Quorum was present)	N.A	N.A
CSR Committee			
N.A	Yes (Requisite Quorum was present)	N.A	N.A
Advisory Committee			
January 08, 2019	Yes (Requisite Quorum was present)	November 14,2018	55

Subject	Compliance (Yes/NO/NA)
Whether Prior approval of audit Committee obtained	Yes
Whether Shareholder approval obtained for material RPT	N.A
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note

1. In the column "Compliance status", Compliance or non- compliance may be indicated by Yes/No/N.A.
For Example, if the Board has been composed in accordance with the requirement of Listing Regulation, "Yes" may be indicated. Similarly, in case the listed Entity has no related party transaction, the words "N.A" may be indicated.
2. If status is "No" details of non-compliance my be given here.

VI. Affirmation		
Sr. No.	Subject	Compliance Status (Yes/No)
1	The Composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulation, 2015	Yes
2	The Composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The Composition of the following committee is in terms of SEBI (Listing Obligation and disclosure requirement) Regulation,2015 b. Nomination & Remuneration Committee	Yes
4	The Composition of the following committee is in terms of SEBI (Listing obligation and disclosure requirement) Regulation, 2015 c. Stakeholder relationship Committee	Yes
5	The Composition of the following committee is in terms of SEBI (Listing Obligation and disclosure requirements) Regulation, 2015 d. Risk Management committee (application to the top 100 listed entities)	N.A
6	The committee members have been made aware of their power, role and responsibilities as specified in SEBI (Listing Obligation and disclosure requirements) Regulation, 2015.	Yes
7	The meeting of the board of director and the above committee have been conducted in the manner as specified in SEBI (Listing Obligation and disclosure requirement) Regulation, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Director. Any Comments/observations /advice of Board of Director may be mentioned here: No comments/observations /advice.	

Signatory Details		
Sr. No	**Subject**	**Compliance Status**
1	Name of Signatory	Nidhi Kulshrestha
2	Designation	Company Secretary and Compliance officer

Annexure II				
Annexure II to be submitted by listing entity at the end of the Financial Year (for the whole Financial Year)				
I Disclosure on Website in terms of Listing Regulation				
Sr. No	Item	Compliance status (Yes/No/NA)	If Status is "No" Details of Non- Compliance may be give Here.	Web Address
1	Details of Business	Yes		www.lasalabs.com
2	Terms and conditions of appointment of Independent Director	Yes		www.lasalabs.com
3	Composition of Various committees of board of Director	Yes		www.lasalabs.com
4	Code of Conduct of board of Director and senior Management personnel	Yes		www.lasalabs.com
5	Details of establishment of vigil mechanism/ Whistle Blower Policy	Yes		www.lasalabs.com
6	Criteria of Making payment of non-executive director	NA		
7	Policy on dealing with related party transactions	Yes		www.lasalabs.com
8	Policy for determining 'material' Subsidiaries	NA		
9	Details of familiarization programmers imparted to independent Director	Yes		www.lasalabs.com
10	Contact information of the designation officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.lasalabs.com
11	Email address for grievance redressal and other relevant details	Yes		www.lasalabs.com
12	Financials results	Yes		www.lasalabs.com
13	Shareholding Pattern	Yes		www.lasalabs.com
14	Details of agreement entered into with the media companies	NA		
15	New name and the old name of the listed entity	NA		

Annexure II				
II Annual Affirmation				
Sr. No	Particular	Regulation Number	Compliance Status (Yes/No/NA)	If status is "No" Details of Non Compliance may be give here
1	Independent Director (s) have been appointed in terms of specified criteria of Independence and/ or eligibility	16(1)(b) & 25(6)	Yes	
2	Board Composition	17(1)	Yes	
3	Meeting of Board of Director	17(2)	Yes	
4	Review of Compliance Report	17(3)	Yes	
5	Plans for orderly succession for appointment	17(4)	Yes	
6	Code of Coduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	

20	Approval for material related party transactions	23(4)	NA	
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)		
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

Annexure II		
1	Name of Signatory	Nidhi Kulshrestha
2	Designation	Company Secretary and Compliance Officer

Annexure II		
II Affirmations		
Sr. No	Particular	Compliance Status (Yes/No/NA)
1	The listed entity has approved material subsidiary policy and the corporate governance requirements with respect to subsidiary of listed entity have been complied	NA

Signatory Details	
Name of Signatory	Nidhi Kulshrestha
Designation of person	Company Secretary & Compliance Officer
Place	Mumbai
Date	12-04-2019

LASA SUPERGENERICS LTD.